

**UNIFORM COMMERCIAL CODE (EXCERPT)**  
**Act 174 of 1962**

**440.9521 Uniform form of written financing statement and amendment.**

Sec. 9521. (1) A filing office that accepts written records for filing shall not refuse to accept a written initial financing statement in the following form, except for a reason set forth in section 9516(2):

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (FRONT AND BACK) CAREFULLY

A. NAME AND PHONE OF CONTACT AT FILER [optional]

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B. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR  
FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only 1 debtor name  
(1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME

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OR 1b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

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1c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

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1d. TAX ID. NO. ADD'L INFO. RE 1e. TYPE OF ORGANIZATION  
SSN OR EIN ORGANIZATION  
DEBTOR

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1f. JURISDICTION OF ORGANIZATION 1g. ORGANIZATIONAL  
ID. No., if any  
[ ] NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only 1  
debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME

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OR 2b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

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2c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

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2d. TAX ID. NO. ADD'L INFO. RE 2e. TYPE OF ORGANIZATION  
SSN OR EIN ORGANIZATION  
DEBTOR

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2f. JURISDICTION OF ORGANIZATION 2g. ORGANIZATIONAL  
ID. No., if any  
[ ] NONE

3. SECURED PARTY'S NAME (or name of total assignee of assignor  
S/P) - insert only 1 secured party name (3a or 3b)

3a. ORGANIZATION'S NAME

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OR 3b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

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3c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

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4. This FINANCING STATEMENT covers the following collateral:

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5. ALTERNATIVE DESIGNATION [if applicable]: [ ] LESSEE/LESSOR  
[ ] CONSIGNEE/CONSIGNOR [ ] BAILEE/BAILOR [ ] SELLER/BUYER  
[ ] AG. LIEN [ ] NON-UCC FILING

6. [ ] This FINANCING STATEMENT is to be filed (for record) (or  
recorded) in the REAL ESTATE RECORDS.  
Attach Addendum [if applicable]

7. Check to REQUEST SEARCH REPORT(S) on Debtor(s)  
[ ] All Debtors [ ] Debtor 1 [ ] Debtor 2

[ADDITIONAL FEE]      [optional]

8. OPTIONAL FILER REFERENCE DATA

FILING OFFICE COPY - NATIONAL UCC FILING STATEMENT (FORM UCC 1)  
(REV. 07/29/98)

[BACK OF FORM]

UCC FINANCING STATEMENT ADDENDUM

Follow instructions (front and back) CAREFULLY.

9. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT

9a. ORGANIZATION'S NAME

OR 9b. INDIVIDUAL'S LAST NAME    FIRST NAME    MIDDLE NAME    SUFFIX

10. MISCELLANEOUS:

THE ABOVE SPACE IS FOR

FILING OFFICE USE ONLY

11. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only 1  
name (11a or 11b) - do not abbreviate or combine names

11a. ORGANIZATION'S NAME

OR 11b. INDIVIDUAL'S LAST NAME    FIRST NAME    MIDDLE NAME    SUFFIX

11c. MAILING ADDRESS    CITY    STATE    POSTAL CODE    COUNTRY

11d. TAX ID. NO.    ADD'L INFO. RE    11e. TYPE OF ORGANIZATION  
SSN OR EIN    ORGANIZATION  
DEBTOR

11f. JURISDICTION OF ORGANIZATION    11g. ORGANIZATIONAL  
ID. No., if any  
[ ] NONE

12. [ ] ADDITIONAL SECURED PARTY'S or [ ] ASSIGNOR S/P'S NAME -  
insert only 1 name (12a or 12b).

12a. ORGANIZATION'S NAME

OR 12b. INDIVIDUAL'S LAST NAME    FIRST NAME    MIDDLE NAME    SUFFIX

12c. MAILING ADDRESS    CITY    STATE    POSTAL CODE    COUNTRY

13. This FINANCING STATEMENT covers [ ] timber to be cut or  
[ ] as-extracted collateral, or is filed as a [ ] fixture  
filing.

14. Description of real estate:

15. Name and address of a RECORD OWNER of the above-described  
real estate (if Debtor does not have record interest):

16. Additional collateral description:

17. Check only if applicable and check only 1 box:  
Debtor is a [ ] Trust or [ ] Trustee acting with respect to  
property held in trust or [ ] Decedent's Estate

18. Check only if applicable and check only 1 box:  
[ ] Debtor is a TRANSMITTING UTILITY  
[ ] Filed in connection with a Manufactured-Home Transaction  
- effective 30 years  
[ ] Filed in connection with a Public-Finance Transaction -  
effective 30 years

FILING OFFICE COPY - NATIONAL UCC FILING STATEMENT (FORM UCC 1Ad)

(2) A filing office that accepts written records for filing shall not refuse to accept a written financing statement amendment in the following form, except for a reason set forth in section 9516(2):

UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS (FRONT AND BACK) CAREFULLY

A. NAME AND PHONE OF CONTACT AT FILER [optional]

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR  
FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE NO.

1b. ☐ This FINANCING STATEMENT AMENDMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS.

2. ☐ TERMINATION: Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement.

3. ☐ CONTINUATION: Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

4. ☐ ASSIGNMENT (full or partial): Give name of assignee in item 7a or 7b and address of assignee in item 7c; and also give name of assignor in item 9.

5. AMENDMENT (PARTY INFORMATION): This Amendment affects ☐ Debtor or ☐ Secured Party of record. Check only 1 of these 2 boxes. Also check 1 of the following three boxes and provide appropriate information in items 6 and/or 7.

☐ CHANGE name and/or address: Give current record name in item 6a or 6b; also give new name (if name change) in item 7a or 7b and/or new address (if address change) in item 7c.

☐ DELETE name: Give record name to be deleted in item 6a or 6b.

☐ ADD name: Complete item 7a or 7b, and also item 7c; also complete items 7d-7g (if applicable).

6. CURRENT RECORD INFORMATION:

6a. ORGANIZATION'S NAME

OR 6b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

7. CHANGED (NEW) OR ADDED INFORMATION:

7a. ORGANIZATION'S NAME

OR 7b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

7c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

7d. TAX ID. NO. ADD'L INFO. RE 7e. TYPE OF ORGANIZATION  
SSN OR EIN ORGANIZATION  
DEBTOR

7f. JURISDICTION OF ORGANIZATION 7g. ORGANIZATIONAL  
ID. No., if any

☐ NONE

8. AMENDMENT (COLLATERAL CHANGE): check only 1 box  
Describe collateral ☐ deleted or ☐ added, or give entire ☐ restated collateral description, or describe collateral ☐ assigned.

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT  
(name of assignor, if this is an Assignment). If this is an  
Amendment authorized by a Debtor which adds collateral or  
adds the authorizing Debtor, or if this is a Termination  
authorized by a Debtor, check here [ ] and enter name of  
DEBTOR authorizing this Amendment.

9a. ORGANIZATION'S NAME

OR 9b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

10. OPTIONAL FILE REFERENCE DATA

FILING OFFICE COPY - NATIONAL UCC FINANCING STATEMENT AMENDMENT  
(FORM UCC3) (REV. 07/29/98)

[BACK OF FORM]

UCC FINANCING STATEMENT AMENDMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

11. INITIAL FINANCING STATEMENT FILE NO. (same as item 1a on  
Amendment form)

12. NAME OF PARTY AUTHORIZING THIS AMENDMENT (same as item 9 on  
Amendment form)

12a. ORGANIZATION'S NAME

OR 12b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

13. USE THIS SPACE FOR ADDITIONAL INFORMATION

THIS SPACE IS FOR  
FILING OFFICE USE ONLY  
FILING OFFICE COPY - NATIONAL UCC FINANCING STATEMENT AMENDMENT  
ADDENDUM (FORM UCC3Ad) (REV. 07/29/98)

(3) A form that a filing office may not refuse to accept under subsection (1) or (2) must conform to the  
format prescribed for the form by the National Conference of Commissioners.

**History:** Add. 2000, Act 348, Eff. July 1, 2001.